

Antwerp Branch

Hoveniersstraat 29

2018 Antwerpen

Tel.: 03 205 14 04

https://be.statebank

**PEP form**

{X} whichever is not applicable

1. (a) I/we declare that no UBO/ Director/ Proxy in the company qualify as ‘Politically Exposed Persons’ during the past 12 months[[1]](#footnote-1), as defined below

Or

(b) I/we declare that UBO/ Director/ Proxy in the company do qualify as ‘Politically Exposed Persons’ during the past 12 months, as defined below

**If yes: please complete the below table for prominent public function**

|  |  |  |
| --- | --- | --- |
| Prominent public function (see Item A below) | Starting date of the prominent public function | End date (all functions that ended less than 12 months ago must be included) |
|  |  |  |
|  |  |  |
|  |  |  |

1. (a) I/we declare that no close family member of any UBO/ Director/ Proxy in the company qualifies as a ‘politically exposed person’, as defined below

Or

(b) I/we declare that a close family member of UBO/ Director/ Proxy qualifies as a ‘politically exposed person’, as defined below

**If yes: please complete the below table for each family member that qualifies as a ‘politically exposed person’**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of each politically exposed person | Prominent public function (see Item A below) | Family connection to the politically exposed person (see item B below) | Starting date of the prominent public function | End date (incl. all functions that ended less than 12 months ago) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1. (a) I/we declare that no close associate of any UBO/ Director/ Proxy qualifies as a ‘politically exposed person’, as defined below

Or

(b) I/we declare that a close associate of UBO/ Director/ Proxy qualifies as a ‘politically exposed person’, as defined below

**If yes: please complete the below table for each close associate that qualifies as a ‘politically exposed person’**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of each politically exposed person | Prominent public function (see Item A below) | Close associate connection to the politically exposed person (see item C) | Starting date of the prominent public function | End date (incl. all functions that ended less than 12 months ago) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1. I/we undertake to inform the Bank, in writing, of changes in the PEP status of above-mentioned person(s),

Date: Authorised Signatory :

Name :

**EXPLANATION AND DEFINITIONS OF ‘POLITICALLY EXPOSED PERSON’**

1. **You qualify as a ‘politically exposed person’ (irrespective of whether you reside in Belgium or abroad) if you are or have been entrusted with a prominent public functions, such as the following:**
   1. heads of State, heads of government, ministers and deputy or assistant ministers;
   2. members of parliament or of similar legislative bodies;
   3. members of the governing bodies of political parties;
   4. members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;
   5. members of courts of auditors or of the boards of central banks;
   6. ambassadors, chargés d'affaires and high-ranking officers in the armed forces;
   7. members of the administrative, management or supervisory bodies of State-owned enterprises;
   8. directors, deputy directors and members of the board or equivalent function of an international organisation.

No public function referred to in points (a) to (h) shall be understood as covering middle-ranking or more junior officials.

You also qualify as a politically exposed person if your mandate of the prominent public function ended less than 12 months ago.

1. **You qualify as a ‘family member (irrespective of whether you reside in Belgium or abroad) if you are:**
2. the spouse, or a person considered to be equivalent to a spouse, of a person listed in A;
3. the child of a person listed in A, or the spouse of those children, or persons considered to be equivalent to a spouse of those children;
4. the parents of a person listed in A;
5. **You qualify as a ‘close associate’ (irrespective of whether you reside in Belgium or abroad) if you are:**
6. a natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or have any other close business relations, with a person listed in A;
7. a natural persons who has the sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a person listed in A.

1. As per the Belgian AML Law, a person loses the PEP qualification 12 months after the termination of the prominent political mandate triggering the PEP qualification. [↑](#footnote-ref-1)